

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **May 22, 2018**
Time: **2:00 p.m.**¹
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period²

| | | |
|-----|------------|--|
| | Action | Approval of the Minutes of the Meeting of March 13, 2018 |
| I-1 | Discussion | Update on Investment Products |
| I-2 | Discussion | Investments and Engagement with Stakeholders around Sustainability |

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, May 17, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

INVESTMENTS SUBCOMMITTEE

Date: **May 22, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of May 16, 2017
- I-3(X) Action Appointment of Advisory Member to the Investments Subcommittee
- Closed Session Statute Citation: Nomination of officers and members [Education Code §92032(e)]*
- I-4(X) Discussion Update on the Office of the Chief Investment Officer Regarding Organization and Personnel
- Closed Session Statute Citation: Personnel matters [Education Code §92032(b)(7)]*

Investments Subcommittee Membership: Regents Anguiano, Lemus, Sherman (Chair), and Zettel (Vice Chair); Ex officio member Makarechian; Chancellors Hawgood and Khosla; Advisory members Anderson and May

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **May 23, 2018**
Time: **8:30 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment Period²

Approval of the Minutes of the Meeting of March 14, 2018

Remarks of the Chair of the Board

Remarks of the President of the University

Remarks of the Chair of the Academic Senate

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, May 17, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

NOTICE OF MEETING

The Regents of the University of California

PUBLIC ENGAGEMENT AND DEVELOPMENT COMMITTEE

Date: **May 23, 2018**
Time: **10:00 a.m.**¹
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|------------|--|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| P1 | Discussion | Federal Issues Update |
| P2 | Discussion | State Government Issues Update |
| P3 | Discussion | Community Outreach and Impacts, Santa Cruz Campus |

Committee Membership: Regents Blum, Lansing (Vice Chair), Mancina, Monge, Ortiz Oakley, and Park; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Block, Christ, Hawgood, Leland, May, and Wilcox; Advisory members May and Morimoto; Staff Advisor Main; Student Advisor Sands

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **May 23, 2018**
Time: **10:00 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

| | | |
|----|------------|--|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| C1 | Action | Regents Policy on Use of the University’s External Accounting Firm |
| C2 | Discussion | Draft Internal Audit Plan for 2018-19 |
| C3 | Discussion | Update on One-Year Status Report on Implementation of Recommendations from State Audit of University of California Office of the President Administrative Expenditures |
| C4 | Discussion | Report on Independent Assessment of Audit Implementation Status |

Committee membership: Regents Anguiano, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Tauscher, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White; Staff Advisor Valdry

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

COMPLIANCE AND AUDIT COMMITTEE

Date: **May 23, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

- Action Approval of the Minutes of the Meeting of March 14, 2018
- C5(X) Action Proposed Settlement of Claims against Deutsche Bank relating to Certain Benchmark Interest Rates – Antitrust Affirmative Recovery – Office of the President
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*
- C6(X) Action Recommended Settlements for Board Action
1. A. Roe v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
 2. Regents v. Sasaki Associates – Proposed Settlement – Affirmative Claim Recovery – Riverside
- Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]*
- C7(X) Discussion Appellate, Trial Court Developments and Updates
1. BENITEZ v. REGENTS – Defense Trial Verdict – Medical Malpractice – Irvine

...continued

Committee membership: Regents Anguiano, Elliott (Vice Chair), Lemus, Makarechian, Newsom, Pérez, Tauscher, and Zettel (Chair); Ex officio members Brown and Kieffer; Chancellors Blumenthal, Gillman, Khosla, and Yang; Advisory members Anderson, Graves, and White

¹ All times indicated and the order of business are approximate and subject to change.

2. CLARENDON v. REGENTS, et al. – Demurrer Sustained – Sexual Battery, Negligence, and Intentional Infliction of Emotional Distress – Berkeley
3. DALE-JABLONOWSKI, et al. v. REGENTS – Case Dismissed – Medical Malpractice – San Francisco
4. DOE v. REGENTS, et al. – Ninth Circuit Appellate Victory – Student Sexual Misconduct – Santa Barbara
5. HUA v. REGENTS – California Court of Appeal Victory – Personal Injury – Riverside
6. JENNINGS v. REGENTS – Motion to Dismiss Filed – Violation of First Amendment and Personal Injury – Berkeley
7. JONES v. WANG – Petition for Rehearing Filed in Ninth Circuit – Professional Liability – Los Angeles
8. KRISHNA LUNCH OF SOUTHERN CALIFORNIA, INC., et al. v. REGENTS, et al. – Plaintiffs Filed Appeal – First Amendment – Los Angeles
9. JUSTINA ROE v. REGENTS – Settlement – Medical Malpractice/Title IX – Berkeley
10. KARASEK v. REGENTS, et al. – Motion for Summary Judgment Granted – Title IX Sexual Assault – Berkeley
11. KIM v. REGENTS – Defense Trial Verdict – Medical Malpractice – San Francisco
12. THE KOALA v. KHOSLA, et al. – Oral Argument Scheduled – First Amendment – San Diego
13. MARTINEZ v. REGENTS – Defense Trial Verdict – Retaliation and Battery – Davis Medical Center
14. PALMER v. REGENTS – Motion for New Trial Filed – Personal Injury – Santa Cruz
15. PARR v. REGENTS – Defense Trial Verdict – Medical Malpractice – Los Angeles
16. PINTER-BROWN v. REGENTS, et al. – Post-Trial Motion Denied – Gender Discrimination – Los Angeles
17. REGENTS v. AISEN – Hearing on Appeal Scheduled – Breach of Fiduciary Duty – San Diego
18. REGENTS, et al. v. BROAD INSTITUTE, INC., et al. – Federal Circuit Oral Argument Held – Patent Dispute – Berkeley
19. REGENTS v. TRAYER – Verdict for University – Property Damage – Berkeley
20. REGENTS, et al. v. U.S. DEPARTMENT OF HOMELAND SECURITY, et al. – Ninth Circuit Hearing Scheduled; Rulings in Other Cases – Administrative Procedures Act and Due Process Claims Challenging Rescission of DACA – Systemwide

21. ROSEN v. REGENTS – California Supreme Court Finds Duty of Care – Negligence – Los Angeles
22. STUDENT SEXUAL MISCONDUCT CASES – Various Activity – Various Campuses
23. YALLEY, et al. v. LIBERTY LIFE ASSURANCE COMPANY OF BOSTON, et al. – Demurrer Sustained without Leave to Amend – Workers’ Compensation Benefits – Systemwide
24. YOUNG AMERICANS FOR LIBERTY AT UNIVERSITY OF CALIFORNIA, BERKELEY v. NAPOLITANO, et al. – Motion to Dismiss Filed – First Amendment – Berkeley
25. YOUNG AMERICA’S FOUNDATION, et al. v. NAPOLITANO, et al. – Motion to Dismiss Granted in Part and Denied in Part – Constitutional Claims Regarding Campus Speakers – Berkeley
26. General Counsel’s Update on Pending or Threatened Litigation

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

C8(X) Information Settlements and Separation Agreements Under Delegated Authority Reported from February 1, 2018 to April 9, 2018

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

NOTICE OF MEETING

The Regents of the University of California

ACADEMIC AND STUDENT AFFAIRS COMMITTEE

Date: **May 23, 2018**
Time: **1:30 p.m.**¹
Location: **Fisher Banquet Room West**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| A1 | Action | Amendment of Regents Policy 3105: Policy on Residency; Rescission of Regents Policy 3106: Policy on Waiver of Tuition and Fees; and Rescission of Standing Order 110.2: Matters Relating to Residency |
| A2 | Discussion | Transfer Task Force Update |
| A3 | Discussion | University of California Extension |
| A4 | Discussion | Instructional Innovation and Digital Academic Programming at the University of California |
| A5 | Discussion | The Student Experience at the University of California |
| A6 | Discussion | Future Enrollment and Capacity Needs |

Committee membership: Regents Elliott, Lansing, Mancina, Monge, Newsom, Ortiz Oakley, Pérez (Chair), Rendon, and Tauscher (Vice Chair); Ex officio members Brown, Kieffer, Napolitano, and Torlakson; Chancellors Block, Christ, Leland, Wilcox, and Yang; Advisory members Graves and White; Staff Advisor Main

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

NATIONAL LABORATORIES SUBCOMMITTEE

Date: **May 23, 2018**
Time: **Upon adjournment of the Academic and Student Affairs Committee¹**
Location: **Fisher Banquet Room West
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco**

Agenda – Open Session

- | | | |
|----|------------|---|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| N1 | Discussion | Update on the National Laboratories |
| N2 | Discussion | Applying Supercomputing to Develop More Effective Treatments for Traumatic Brain Injury |

Committee Membership: Regents Mancina, Napolitano, Newsom, Ortiz Oakley, Tauscher (Chair), and Zettel (Vice Chair); Ex officio member Pérez; Chancellors Block, Christ, and Yang; Advisory member White

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **May 23, 2018**
Time: **1:30 p.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of March 14, 2018

F1(X) Action Approval of Ground Lease Business Terms, Dining Agreements, and Financing for the Dundee Residence Hall and Glasgow Dining Project, Riverside Campus

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

F2(X) Action Approval of Disposition of Real Property and Contribution of Funds for Construction of Fire Station, and Option to Purchase Torrey Pines Center South, San Diego Campus

*Closed Session Statute Citation: Acquisition or disposition of property
[Education Code §92032(b)(6)]*

Committee membership: Regents Anguiano, Blum, Lemus, Makarechian (Chair), Park, Rendon, Sherman (Vice Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Anderson, May, and Morimoto

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

FINANCE AND CAPITAL STRATEGIES COMMITTEE

Date: **May 23, 2018**
Time: **Upon adjournment of the closed session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|--------|---|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| F3 | Action | Establishment of a Compensation and Personnel Subcommittee |
| F4 | Action | Consent Agenda |
| | A. | Approval of Preliminary Plans Funding, Triton Pavilion for Student Resources and Community Engagement, San Diego Campus |
| | B. | Approval of External Financing Supported by State General Funds, Ridge Walk Academic Complex, San Diego Campus and Northern Regional Library Facility, Systemwide |
| | C. | Adoption of Endowment Administration Cost Recovery Rate |
| | D. | Adoption of Expenditure Rate for the General Endowment Pool |

...continued

Committee membership: Regents Anguiano, Blum, Lemus, Makarechian (Chair), Park, Rendon, Sherman (Vice Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano; Chancellors Blumenthal, Gillman, Hawgood, Khosla, and May; Advisory members Anderson, May, and Morimoto; Staff Advisor Valdry; Student Advisor Sands

¹ All times indicated and the order of business are approximate and subject to change.

F5 Discussion Update on Governor's May Revision to the 2018-19 Budget

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **May 23, 2018**
Time: **3:30 p.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

- | | | |
|----|--------|--|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| G1 | Action | Amendment of Bylaws and Certain Committee Charters Regarding the Governance and Compensation Committee and the Investments Subcommittee |
| G2 | Action | Amendment of Regents Policy 1203: Policy on Emeritus Title for Former Regents, Senior Leadership, and Staff and Rescission of Standing Order 103.5 |
| G3 | Action | Amendment of Schedule of Reports to the Regents |

Committee membership: Regents Blum, Elliott, Lansing, Ortiz Oakley (Vice Chair), Makarechian, Pérez, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

The Regents of the University of California

GOVERNANCE AND COMPENSATION COMMITTEE

Date: **May 23, 2018**
Time: **Upon adjournment of the open session meeting¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

| | | |
|-------|--------|---|
| | Action | Approval of the Minutes of the Meeting of March 14, 2018 |
| G4(X) | Action | Appointment of Advisory Member to the Health Services Committee |

*Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]*

| | | |
|-------|------------|-------------------------------|
| G5(X) | Discussion | Collective Bargaining Matters |
|-------|------------|-------------------------------|

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

Committee membership: Regents Blum, Elliott, Lansing, Ortiz Oakley (Vice Chair), Makarechian, Pérez, Sherman (Chair), and Zettel; Ex officio members Brown, Kieffer, and Napolitano

¹ All times indicated and the order of business are approximate and subject to change.

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **May 24, 2018**
Time: **8:30 a.m.**¹
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Closed Session

Action Approval of the Minutes of the Meeting of March 15, 2018

Committee Reports Including Approval of Recommendations from Committees:

Compliance and Audit Committee

Proposed Settlement of Claims against Deutsche Bank Relating to Certain Benchmark Interest Rates – Antitrust Affirmative Recovery – Office of the President

Recommended Settlements for Board Action:

1. A. Roe v. Regents – Proposed Settlement – Medical Malpractice – Los Angeles
2. Regents v. Sasaki Associates – Proposed Settlement – Affirmative Claim Recovery – Riverside

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Finance and Capital Strategies Committee

Approval of Ground Lease Business Terms, Dining Agreements, and Financing for the Dundee Residence Hall and Glasgow Dining Project, Riverside Campus

Approval of Disposition of Real Property and Contribution of Funds for the Construction of Fire Station, and Option to Purchase Torrey Pines Center South, San Diego Campus

Closed Session Statute Citation: Acquisition or disposition of property [Education Code §92032(b)(6)]

¹ All times indicated and the order of business are approximate and subject to change.

Governance and Compensation Committee

*Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]*

*Closed Session Statute Citation: Collective bargaining matters
[Government Code §3596(d)]*

Health Services Committee (meeting of April 13)

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

Investments Subcommittee

*Closed Session Statute Citation: Nomination of officers and members
[Education Code §92032(e)]*

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

Officers' and President's Reports:

Report of Interim, Concurrence, and Committee Actions

Personnel Matters

Closed Session Statute Citation: Litigation [Education Code §92032(b)(5)]

*Closed Session Statute Citation: Personnel matters
[Education Code §92032(b)(7)]*

NOTICE OF MEETING

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: **May 24, 2018**
Time: **Upon adjournment of Closed Session¹**
Location: **Robertson Auditorium**
UCSF–Mission Bay Conference Center
1675 Owens Street, San Francisco

Agenda – Open Session

Public Comment²

Roll Call

Approval of the Minutes of the Meeting of March 15, 2018 and the Special Meeting of April 17-19, 2018

Notable Honors and Achievements

Committee Reports Including Approval of Recommendations from Committees:

Academic and Student Affairs Committee

Amendment of Regents Policy 3105: Policy on Residency; Rescission of Regents Policy 3106: Policy on Waiver of Tuition and Fees; and Rescission of Standing Order 110.2: Matters Relating to Residency

Compliance and Audit Committee

Adoption of Regents Policy on Use of the University's External Accounting Firm

¹ All times indicated and the order of business are approximate and subject to change.

² This session is for the purpose of receiving public comment on University-related matters. If you wish to address the Board, you may sign up to do so at the meeting. You are encouraged to inform the Secretary and Chief of Staff of your intent in advance of the meeting by calling (510) 987-9220. The University of California subscribes to the Americans with Disabilities Act. If you need reasonable accommodation, please contact the Secretary and Chief of Staff's Office by 10 a.m. on Thursday, May 17, 2018.

Guidelines

In fairness to all who wish to address the Board, each speaker must abide by the individual time limit allotted and announced at the convening of the session. At the end of the allotted time, each speaker will be asked to yield to the next one. The custom is to provide up to three minutes to each speaker. Three or more speakers may pool their time to provide up to seven minutes for a group representative. Depending on the number of those on the sign-up list, the amount of time per speaker may be reduced and there is no guarantee that all individuals who do sign up will be able to address the Regents.

Finance and Capital Strategies Committee

Establishment of a Compensation and Human Resources Subcommittee

Consent Agenda

Approval of Preliminary Plans Funding, Triton Pavilion for Student Resources and Community Engagement, San Diego Campus

Approval of External Financing Supported by State General Funds, Ridge Walk Academic Complex, San Diego Campus and Northern Regional Library Facility, Systemwide

Adoption of Endowment Administration Cost Recovery Rate

Adoption of Expenditure Rate for the General Endowment Pool

Governance and Compensation Committee

Amendment of Bylaws and of Certain Committee Charters Regarding Governance and Compensation Committee and the Investments Subcommittee

Amendment of Regents Policy 1203: Policy on Emeritus Title for Former Regents, Senior Leadership, and Staff and Rescission of Standing Order 103.5

Amendment of Schedule of Reports to the Regents

Appointment of Advisor to the Health Services Committee

Health Services Committee (meeting of April 13, 2018)

Public Engagement and Development Committee

Investments Subcommittee

National Laboratories Subcommittee

Unfinished Business

Resolutions in Appreciation

- B1 Action** Fiscal Year 2018-19 Budget for the Office of the President
- B2 Discussion** A Review of the University of California's Undergraduate Financial Aid Strategy

Officers' and President's Reports:

Report of Materials Mailed Between Meetings
Report of Interim, Concurrence, and Committee Actions